PATENT

Attorney Docket No. 071469-0305306

Client Reference: RAJ-005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DAVID L O'MEARA, CORY WAJDA, TSUYOSHI TAKAHASHI, ALESSANDRO CALLIGARI, KRISTEN SCHEER, SUFI ZAFAR, and

PAUL JAMISON

Application No.:

Confirmation

No:

Filed:

September 16, 2003

Group No.: Examiner

For:

INTERFACIAL OXIDATION PROCESS FOR HIGH-K GATE DIELECTRIC PROCESS

INTEGRATON

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

APPLICATION DATA SHEET 37 C.F.R. § 1.76

BIBLIOGRAPHIC DATA

1. Applicant information

First applicant: DAVID L O'MEARA

Citizenship: USA

Residence: Poughkeepsie, NY 12603

Second applicant: CORY WAJDA

Citizenship: USA

Residence: Mesa, AZ 85202

Third applicant: TSUYOSHI TAKAHASHI

Citizenship: JAPAN

Residence: Nirasaki-city, JAPAN

Fourth applicant: ALESSANDRO CALLIGARI

Citizenship: USA

Residence: Yorktown Heights, NY 10598

Fifth applicant: KRISTEN SCHEER

Citizenship: USA

Residence: Milton, NY 12547

Sixth applicant: SUFI ZAFAR

Citizenship: INDIA

Residence: Briarcliff Manor, NY 10510

Seventh applicant: PAUL JAMISON

Citizenship: USA

Residence: Hopewell Junction, NY 12533

2. Correspondence information

Correspondence for this application should be addressed as follows:

Customer No.: 00909

3. Application information

Title of Invention: INTERFACIAL OXIDATION PROCESS FOR HIGH-K GATE DIELECTRIC PROCESS INTEGRATON

Docket number assigned to this application: 071469-0305306

Suggested Classification: Class:

Subclass:

Technology Center to which subject matter is assigned:

Total number of drawing sheets: 10

Type of application:

Utility

Application is to be published. Suggested drawing figure for publication:

Secrecy order under § 5.2:

This application does not disclose subject matter of an application which is under a secrecy order pursuant to § 5.2.

4. Representative information

The following have a power of attorney or authorization of agent in this application:

Customer No.: 00909

5. Assignee information

The assignee(s) of this application is/are:

Tokyo Electron Limited 3-6, Akasaka 5-chome, Minato-ku Tokyo, 107 JAPAN

Extent of interest of assignee in application: 50%

International Business Machines Corporation New Orchard Road Armonk, NY 10504 Extent of interest of assignee in application: 50%

Date: September 16, 2003

Reg. No.: 28872 Tel. No.: (703) 905-2126 Customer No.: 00909

Signature of Practitioner

Dale S. Lazar

PILLSBURY WINTHROP LLP

P.O. Box 10500 McLean, VA 22102